

12-27-99

PATENT

A-67321
HAL-114

jc490 U.S. PTO
12/22/99
11017/60
09/170444

EXPRESS MAIL LABEL: EL170348838US
DEPOSITED: December 22, 1999
CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date in an envelope as "Express Mail" addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Signed: *Kar Bateman*
Kar Bateman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
SRIVASTAVA et al.

Examiner: **To be assigned**

Serial No.: **To be assigned**

Group: **To be assigned**

Filing Date: **December 22, 1999**

Title: **PATIENT RULE INDUCTION
METHOD ON LARGE DISK
RESIDENT DATA SETS AND
PARALLELIZATION THEREOF**

**TRANSMITTAL
NEW PATENT APPLICATION**

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

1. Transmitted herewith for filing is a:

- a. ☒ patent application
☐ design patent application
☐ plant patent application
21 Pages of specification
11 Pages of claims
20 Sheets of drawings
☐ formal
☒ informal
1 Page of abstract

b. Inventor(s): **ANURAG SRIVASTAVA & VINEET SINGH**

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c. For: **PATIENT RULE INDUCTION METHOD ON LARGE DISK
RESIDENT DATA SETS AND PARALLELIZATION THEREOF**

2. Additionally enclosed is:

☐ an executed ☐ an unexecuted Declaration for Patent Application;

☐ an executed ☐ an unexecuted Power of Attorney by Assignee and
Exclusion of Inventors and Statement for Patent Application;

☐ an executed ☐ an unexecuted Assignment of the invention to:
HITACHI AMERICA, LTD.

☐ an executed ☐ an unexecuted Verified Statement to establish small entity
status under 37 CFR 1.9 and 37 CFR 1.27.

☐ Certified copy(ies) of application number(s) filed .

☐ An Information Disclosure Statement.

☐ A Preliminary Amendment.

☐ A Petition for Expedited Foreign Filing License.

☐ A Declaration of Availability under MPEP 608.01(p)C.

☐ A duplicate copy of this plant patent application.

☐ _____.

3. This application is a:

☐ provisional application, Serial No. _____; filed _____;

☐ divisional application.

☐ continuation application.

☐ continuation-in-part application of U.S. Application Serial No. , and

☐ a Petition for Extension of Time for filing in the earlier application is
enclosed.

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4. The filing fee has been calculated as shown below:

☒ Utility application:CLAIMS AFTER ENTRY OF ANY AMENDMENTS, LESS ANY CANCELED CLAIMS

The fees have been calculated as shown below:

For:	(Col. 1) <u>No. Filed</u>	(Col. 2) <u>No. Extra</u>	Small Entity <u>Rate</u> <u>Fee</u>	Large Entity <u>Rate</u> <u>Fee</u>
Basic Fee:			\$ 380.00	\$ 760.00
Total Claims:	19 - 20 = 0	x 9 =	\$ 0.00	x 18 = \$ 0.00
Indep. Claims	3 - 3 = 0	x 39 =	\$ 0.00	x 78 = \$ 0.00

☐ Multiple Dependent
Claims Presented +130 = \$ +260 = \$-0-

Total Filing Fee: **\$ 760.00**

* If the difference in Col. 1 is less
than zero, enter "0" in Col. 2

Applicant hereby petitions for an extension of time in parent application serial no. _____
and encloses the fee of:

	<u>Small Entity</u>	<u>Large Entity</u>
<input type="checkbox"/> One month	\$ 55.00	<input type="checkbox"/> One month \$110.00
<input type="checkbox"/> Two months	\$190.00	<input type="checkbox"/> Two months \$380.00
<input type="checkbox"/> Three months	\$435.00	<input type="checkbox"/> Three months \$870.00
<input type="checkbox"/> Four months	\$680.00	<input type="checkbox"/> Four months \$1,360.00
<input type="checkbox"/> Five months	\$925.00	<input type="checkbox"/> Five months \$1,850.00

Extension of Time Fee: **\$-0-**

☐ Assignment Recording Fee

___ Assignments @ \$40.00 each \$0.00

☐ Petition for Expedited Foreign
Filing License.....\$130.00 \$

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Other fees (list individually)

Total Other Fees:

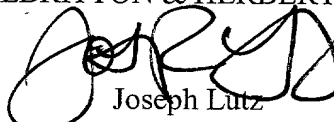
\$ 0.00

TOTAL FEES: \$ 760.00

- [X] A check including the amount of the above indicated TOTAL FEES is attached.
- [] Please charge Deposit Account No. 06-1300 in the amount of _____.
- [] No fee is required.
- [] Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- [X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 06-1300 (Order No.: A-67321/JAS/JL)
- [X] Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- [X] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

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